On 27 March 2012, the Government published the National Planning Policy Framework (NPPF).


Whilst some of the references in this document may now be out-of-date, English Heritage believes this document still contains useful advice and case studies.

We are in the process of revising this publication:

- to reflect changes resulting from the NPPF, Localism and other Government initiatives
- once the impact of proposed reforms on the Local Authority Planning system has been understood

For further enquiries, please email policy@english-heritage.org.uk

www.english-heritage.org.uk
Understanding Place: Conservation Area Designation, Appraisal and Management
This guidance is for local authorities, community groups, amenity societies, developers and their agents, consultants, and those who visit, live in, work in, or own properties in conservation areas.

FOREWORD
The contribution that historic areas make to our quality of life is widely recognised. They are a link to the past that can give us a sense of continuity and stability and they have the reassurance of the familiar which can provide a point of reference in a rapidly changing world. The way building traditions and settlement patterns are superimposed and survive over time will be unique to each area. This local distinctiveness can provide a catalyst for regeneration and inspire well designed new development which brings economic and social benefits. Change is inevitable. This guidance sets out ways to manage change in a way that conserves and enhances historic areas through conservation area designation, appraisal and management.

About this Document
This consultation document is based on Guidance on conservation area appraisals (English Heritage 2005) and Guidance on the management of conservation areas (English Heritage 2005) which were published as separate consultation documents. Comments in response to these consultations focused on the adoption of conservation appraisals and management plans within the planning system (which is a matter for local authorities and their communities). The principles of the approach were widely accepted and these documents have been used and referenced frequently in planning policy, development management and appeal decisions since their publication. This new document takes these principles and updates them after the issue of PPS5 Planning for the Historic Environment and its Practice Guide.

There have been significant legislative and policy developments since the consultation documents were published and more changes will follow. For this reason the guidance is a web-based document which provides a single source of information on conservation area designation, appraisal and management which will be revised as necessary on a regular basis.

We are therefore placing this consultation document on this website as a consultation draft of our conservation area guidance for a three month period until July 2011 and would welcome comments sent to planning.policy@english-heritage.org.uk.

This document is part of the series of HELM guides on historic characterisation which include:

Understanding Place: an Introduction (English Heritage 2010),
Understanding Place: Historic Area Assessments in a Planning and Development Context (English Heritage 2010),
and Understanding Place: Characterisation and Spatial Planning (English Heritage 2011 to follow) and complements

Valuing Places: Good Practice in Conservation Areas (English Heritage 2011) which illustrates approaches and techniques associated with constructive conservation that have assisted local authorities, civic societies and local groups to actively manage their conservation areas.

Acknowledgements
This document owes much to Anna McPherson of The Paul Drury Partnership who wrote the 2005 guidance on which this text is based. It includes new case studies and photographs sourced by Michael Munt, Historic Areas Advisor, English Heritage. It has benefited from informal discussion with Robert Lloyd-Sweet in relation to his work for The Conservation Studio and Oxford City Council who also provided information on Ealing Canalside Conservation Area Appraisal.
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PART 1: DESIGNATION

Introduction

1.1 Since 1967 local authorities have been able to protect areas which are valued for their special architectural or historic interest – the character and appearance of which it is desirable to preserve and enhance - through the designation of conservation areas under the provisions of Sections 69 and 70 of the Planning (Listed Buildings and Conservation Areas) Act 1990. Part 1 of this document considers how local authorities might approach designation and explains the protection designation offers.

1.2 Whilst the local authority is responsible for designation, historic areas are an important resource for all of us and future generations and many conservation areas have a national as well as a local interest. For this reason the protection offered through designation is set through legislation and national policy.

Identifying Potential

1.3 Areas suitable for designation may be identified in a number of ways. Historic characterisation studies in response to development threats, for master-planning and as part of evidence collection for the local development plan, can identify areas which might have a special architectural or historic interest that has been overlooked. Local communities working on neighbourhood plans may also identify areas which have a special interest to them because of particular historic associations that were previously not understood. In every case it is important to be able to articulate the special interest and support the designation with evidence from some form of historic characterisation – ideally a conservation area appraisal.

Special Architectural or Historic Interest

1.4 There are many different types of special architectural and historic interest which can lead to designation. A conservation area might be focused on parts of a town where there are a high number of nationally designated heritage assets and a variety of architectural styles and historic associations. Others may be more homogenous, linked to a particular industry or philanthropist, for example, and/or may have a particular local interest. They can include parts of settlements where the original layout is visible in the modern street pattern, where a particular style of architecture prevails, or traditional building materials predominate. Some exceptionally are designated because of the quality of the public realm, green spaces and historic parks and gardens, and some seek to protect agricultural landscapes of special interest.
1.5 Conservation area designation is not generally an appropriate means of protecting the wider landscape. Conservation area designation can in some circumstances be an effective way to protect open areas particularly where the character and appearance relates to historic fabric (to which the principle protection offered by conservation area designation relates), or a spatial element, such as a design form or settlement pattern. Conservation area designation solely for the special interest of green space is most likely to be useful for the following, particularly if they are: on the English Heritage Register of parks and gardens of special interest; parkland associated (or formerly associated) with buildings and containing structures or trees eligible for Tree Preservation Orders; designed landscape; and areas where man-made components are a particularly significant element, such as ridge and furrow, which might form the immediate setting and landscape backdrop of smaller rural settlements. However a designation made solely to protect veteran trees is unlikely to achieve this aim because the criteria for TPOs generally exclude trees which are ‘dead, dying or dangerous’.

Benefits of Appraisal

1.6 Part 2 of this document sets out how an appraisal might be carried out effectively. An appraisal of an area undertaken prior to designation will lead to an understanding and articulation of its character which can be used to develop a robust policy framework for planning decisions. An adopted appraisal will be material to appeal decisions and to Secretary of State decisions relating to urgent works to preserve an unlisted building in a conservation area.

1.7 An appraisal will help local authorities to develop a management plan for the conservation area because it analyses what is positive and negative, and identifies opportunities for beneficial change or the need for additional protection and restraint. It is important to bear in mind that designation in itself will not protect an area from incremental change which can erode its character. The information in the appraisal will also be helpful to those considering investment in the area and can be used to guide the form and content of new development.

1.8 Guidance on managing conservation areas through local planning policies, additional protection measures, regeneration and enhancement schemes and other management strategies is set out in Part 3.

1.9 Appraisals have a wider application as educational and informative documents for the local community. A good character appraisal sets out how the area or place has evolved as an exciting, but unfinished story and draws out the key elements of the quality and character of the place.

1.10 Ideally, an appraisal will have been prepared prior to designation of all conservation areas, or extensions to existing conservation areas, as a matter of course in order to inform the designation process. Where conservation areas were designated some time ago, this has probably not been the case. Undertaking an appraisal in these circumstances offers an opportunity to re-assess the designated area and to evaluate and record its special interest.

Community Involvement

1.11 Under section 70(8) of the Planning (Listed Building and Conservation Areas) Act 1990 in addition to notifying the Secretary of State and English Heritage, a local planning authority is required to give notice of intended designation through a notice placed in the London Gazette and a local newspaper. However, over the last few years local communities have become more proactively involved in identifying the general areas that merit conservation area status and defining the boundaries. The values held by the community are likely to add depth and a new perspective to the local authority view. Conservation appraisals which have been initiated by community groups have demonstrated that informed residents can undertake a great deal of the initial survey work. English Heritage has recently published guidance on heritage content of community led plans in rural areas and has funded the development of a toolkit currently being used in Oxford to assist groups of local residents to evaluate the heritage within their area.

1.12 From their survey data the local community and/or the authority can develop a full appraisal in draft form. Publishing the draft appraisal on the council’s website, accompanied by an electronic comments sheet/feedback form will allow for the development of a full appraisal for submission to English Heritage.
form can involve the wider community before the appraisal is too far advanced to exclude further influence on the outcome. It is usual to include a report on how community involvement and public consultation has been undertaken in the appraisal itself and explain how the input from the community was evaluated and how it has been taken into account in defining the special interest of, and recommendations for, the area.

Finalising and Reviewing the Boundary

1.13 The boundary of the conservation area will be identified through the appraisal and guidance on this is set out in part 2 at paragraph 2.2.26. Before finalising the boundary it is worth considering whether the immediate setting also requires the additional controls that result from designation, or whether the setting is itself sufficiently protected by national policy or the polices in the development plan. This would also be a consideration when deciding whether to include a historic park and garden as inclusion of the English Heritage Register of parks and gardens of special historic interest does not confer any statutory controls.

1.14 In areas designated many years ago original interest may have been so eroded by the cumulative effect of piecemeal change or by single examples of poorly designed development that some parts of the area are no longer special, and boundary revisions are needed to exclude them. In some cases the conservation area designation may need to be re-considered.

1.15 Conversely, it is now recognised that the boundaries of some conservation areas designated many years ago may have been drawn too tightly. For example the full extent of historic rear plots, which are often of archaeological interest and an essential part of the framework of an historic town, were omitted, or the Victorian or Edwardian phases of development, parks, cemeteries and historic green spaces now considered of special interest, were excluded. In such cases the existing boundary may need to be extended.

1.16 The local authority must follow the same publicity procedures to vary or cancel a designation as they do to designate but it is advisable to take a more proactive approach which involves the community at an early stage as well as following formal procedures.

Review

1.17 Section 69(2) of the Planning (Listed Building and Conservation Areas) Act 1990 requires local authorities to carry out reviews 'from time to time' but there is no indication in law how often this might mean. Good practice is generally accepted to be every 5 years.

1.18 The review may also highlight areas where additional protection or remediation is required, such as Article 4 directions (see Annex 1) or an Urgent Works Notice (see paragraph 3.21). Regular reviews will ensure protection is maintained and threats and opportunities identified. The character and appearance of most conservation areas, the special interest that warrants designation, generally does not change rapidly, particularly if appropriate management procedures are in place. The outcome of the review might typically result in an addendum to the existing appraisal, recording what has changed, confirming (or redefining) the special interest that warrants designation, setting out any new recommendations and revising the management strategy. The updated appraisal and related management proposals can then be re-adopted by the local authority.

Protection Offered by Designation

1.19 Conservation area designation introduces controls over the way owners can alter or develop their properties. However, owners of residential properties generally consider these controls are beneficial because they also sustain, and/or enhance, the value of property within it, (as a recent survey of estate agents indicates).

1.20 These controls include:

- the requirement in legislation and national planning policies to preserve and/or enhance (set out in detail in Annex 2 and Table 1);
- local planning policies which pay special attention to the desirability of preserving or enhancing the character or appearance of the area
- control over demolition of unlisted buildings
- control over works to trees
- fewer types of advertisements which can be displayed with deemed consent
- restriction on the types of development which can be carried out without the need for planning permission (permitted development rights).
<table>
<thead>
<tr>
<th>Means of Protection</th>
<th>Explanation</th>
<th>Sources of information</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Planning Policy (see Annexe 2)</td>
<td>Conservation areas are designated heritage assets and as such there is a national presumption in favour of their conservation.</td>
<td>Planning Policy Statement 5: Planning for the Historic Environment (PPS5) sets out the Government’s planning policies on the conservation of the historic environment. PPS5 Practice Guide</td>
</tr>
<tr>
<td>LDF and development management decisions</td>
<td>Local Authorities are required by SS72 of the Planning (listed Building and Conservation Areas) Act 1990 to pay special attention to the desirability of preserving or enhancing the character or appearance of conservation areas when drawing up plans or considering development proposals both within the designated area and outside it if they would affect the setting or views into or out of it.</td>
<td>Planning Policy Statement 5: Planning for the Historic Environment (PPS5) PPS5 Practice Guide</td>
</tr>
<tr>
<td>Restrictions on Permitted Development Rights (see also Article 4 Directions in Annex 1)</td>
<td>A wide range of minor works are permitted to commercial, residential and other properties without the need for formal planning permission. These are known as ‘Permitted Development’ (PD) rights and are granted by the Secretary of State nationally through the Town and Country Planning (General Permitted Development) Order (GPDO). In conservation areas these rights are restricted where development might be visible from the public realm.</td>
<td>CLG technical guidance on PD rights</td>
</tr>
<tr>
<td>Control over demolition</td>
<td>Conservation Area Consent is required to demolish a building or structure in a conservation area with some exemptions set out in paragraph 31 of Circular 1/01.</td>
<td>Circular 01/01</td>
</tr>
<tr>
<td>Control over works to trees</td>
<td>Under section 211 of the 1990 Planning Act, any one proposing to cut down, top or lop a tree in a conservation area (with the exception of trees under a certain size, or those that are dead, dying or dangerous) is required to give 6 weeks notice to the local planning authority. The purpose of this requirement is to give the authority the opportunity to make a tree preservation order which then brings any works permanently under control.</td>
<td>DCLG guidance</td>
</tr>
<tr>
<td>Restrictions on outdoor advertisements</td>
<td>Certain categories of advertisement which have ‘deemed consent’ under the Advertisement Regulations are restricted within conservation areas. These include illuminated advertisements on business premises and advertisements on hoardings around development sites. In addition, balloons with advertisements are not exempt from the need for advertisement consent in conservation areas.</td>
<td>Restrictions on outdoor advertisements</td>
</tr>
</tbody>
</table>
PART 2: APPRAISAL
SECTION 1: OVERVIEW

Introduction

2.1.1 The benefits of appraisal have been set out in paragraphs 1.6–10 of this guidance. In this section the approach is set out in detail. Whilst the amount of detail may seem daunting at first, particularly when there are a number of conservation areas requiring appraisal, it need not be an overly long or costly task. Experienced practitioners have been known to complete an appraisal in little more than a week. The objective is to understand and articulate exactly why the area is special and what elements within the area contribute to this special quality and which don’t. The best conservation appraisals convey this information succinctly and in plain English which is accessible to all users. With scarce resources it may be wise to complete appraisals for a number of conservation areas to a reasonable level of detail rather than try to cover every last detail for one conservation area.

Research

2.1.2 The techniques for tracing the historic development of an area and assessing the condition of the historic environment and heritage assets within are set out in Understanding Place: Historic Area Assessments in a Planning and Development Context English Heritage 2010 and in greater detail in Understanding Place. Historic Area Assessment: Principles and Practice English Heritage 2010. When considering the analytical framework the following issues will be of particular relevance to conservation area appraisal:

• current and past land use
• communication types and patterns.
• social and economic background
• aspect, geology and relief
• distribution, type and condition of designated and non-designated heritage assets
• density, types and forms of buildings, gardens and green spaces
• place names and earliest references.

2.1.3 Documentary and other sources might include:

• OS and other maps
• trade directories
• aerial photographs
• historic environment record (HER) data
• historic characterisation studies

Further information on using these sources can be found in section 4.4 Understanding Place. Historic Area Assessment: Principles and Practice English Heritage 2010.

Fieldwork

2.1.4 Tips on fieldwork are set out in section 4.3 Understanding Place. Historic Area Assessment: Principles and Practice English Heritage 2010. It is important to remember that an area may have a different character depending on the season or time of day. Where time is constrained and only limited survey time is available it may be difficult to encapsulate this variety in the report but it is something to be aware of. Residents and people working or visiting the area may have their own perspective on what is special and stopping to talk when asked about the survey can add an extra dimension to the study and may reveal information or values which have been overlooked. Make a dated photographic record of buildings at this time.

Presentation

2.1.5 Graphic presentation makes an immediate, easily understandable impact which is far more accessible for users and usually results in a more succinct document. Issues which are not easily presented graphically will of course need to be explained in complementary text. Illustrations might include any, or combinations, of the following:

• a map that places the conservation area in its wider setting, whether within a larger settlement, or in the context of a rural landscape hinterland;
• a map or sketch that demonstrate the area’s historical development and identifies places or buildings with particular historical associations;
• a map illustrating current uses, for example, related to different historic building types (residential, commercial, industrial);
• a townscape analysis map showing, for example, spatial issues such as important views into and out of the conservation area, landmarks, and open or green spaces; or temporal issues, such as the extent to which pre-urban landscape features (such as the lines of former field boundaries) survive in the current townscape;
• a map showing listed, locally listed buildings and unlisted buildings or groups of buildings that contribute positively to the character or appearance of the area, scheduled monuments and areas of archaeological interest (this could be combined with the townscape analysis map, depending on the size and complexity of the area);
• photographics or drawings of buildings and characteristic local details.
Adoption

2.1.6 Once consultation has been completed and the appraisal and the resulting management proposals have been revised to take account of public responses, they can be adopted formally in accordance with the local authority’s internal procedures. Many authorities find a single A4 summary sheet for each conservation area a useful addition to the full document.

2.1.7 The decision on whether the appraisal should be adopted as part of the Local Development Framework (LDF) is a matter for the local planning authority. Some authorities, such as Tunbridge Wells, for example, have adopted conservation appraisals and management plans together as Supplementary Planning documents (SPD) whereas others regard the appraisal itself as part of the evidence base and adopt the management plan including development management policies in the LDF as SPD. Inspectors have accepted appraisals as material considerations of considerable weight in appeals whether or not they have been adopted as SPD.

SECTION 2: CONTENT

2.2.1 The content suggested below can be adapted to suit local circumstances. Some authorities, such as the Yorkshire Dales National Park Authority, have developed their own templates to use which can be a useful tool when carrying out a number of appraisals over a short time.

The Introduction

2.2.2 This will explain the background to the appraisal and describe the general identity and character of the conservation area and when it was designated, its place within the wider settlement or surrounding landscape, the scope and nature of the appraisal and the dates of survey, adoption and publication. Any significant sources of information might also be mentioned.

The Planning Policy Context

2.2.3 It is useful to set out the national and local policy framework to provide a context for the appraisal. A brief explanation of what a conservation area is, how and why it is designated and a summary of the implications of designation is useful for members of the community looking at the appraisal for the first time. It provides a context and helps the reader to understand the rationale behind the approach. This part might include information about the public consultation.

The Definition (or Summary) of Special Interest

2.2.4 This is where the ‘special architectural or historic interest’ of the area that warrants designation, the ‘character or appearance of which it is desirable to preserve or enhance’, is defined. If character areas or zones have been identified these will be described in detail and the special interest of each area evaluated further in the document (see paragraph 2.2.18) the sum of these values can be articulated in this section. The values attributed to the area by the local community and all those with a stakeholder interest (ideally through involvement at the earliest stages and at the very least through the formal consultation) will be an important consideration.

2.2.5 Key elements in defining the special interest are likely to be:

- the relationship of the conservation area to its setting and the effect of that setting on the area
- the still-visible effects/impact of the area’s historic development on its plan form, character and architectural style and social/historic associations
• how the places within it are experienced by the people who live and work there and visitors to the area (including both diurnal and seasonal variations if possible)
• architectural quality and built form
• open spaces, green areas, parks and gardens, and trees
• designated and other heritage assets, their intrinsic importance and the contribution they make to the area
• local distinctiveness and the sense of place which make the area unique.

Ways to assess these elements are described in more detail below.

Assessing Special Interest

Location and Setting

2.2.6 If there is historic landscape characterisation coverage it will assist with this part of the appraisal. General character and plan form needs to be described eg linear, compact, dense or dispersed. As a conservation area usually only covers part of a village, town or city, it is helpful to include the geographical and historical context in relation to the character and appearance of the whole settlement. In addition to a factual description of the location of the conservation area and its wider setting brief references to economic profile, general condition and existing or potential forces for change might usefully be included.

2.2.7 Views of rivers, the sea, and surrounding hills and glimpses of landscape from urban streets, open spaces, church towers and prominent public buildings, or a uniform building height resulting from either past influences or planning restrictions, can contribute significantly to the atmosphere of a place. Therefore identification and mapping of panoramas, vistas, view points, and landmark buildings is likely to be an essential part of understanding and recording character. Distant views of the settlement and those in the approach to it may also contribute. Where adjacent Areas of Outstanding Natural Beauty (AONBs) or Areas of High Landscape Value penetrate or abut the built-up area, it is helpful to explain the importance of such designations.

Historic Development

2.2.8 Map regression (comparing successive historic maps, including the Ordnance Survey sequence) is a starting point for the historical analysis. Beyond the earliest detailed maps, archaeological and urban morphological methods

Wivenhoe's location on the Colne estuary is of prime importance to the economic history and development of the town and the rising ground contributes greatly to its character and townscape. The view from the South into Wivenhoe of the quayside forms a strong boundary at the southern edge, particularly since there is no settlement immediately visible on the opposite bank. The Quay is an attractive mix of old and new buildings with a maritime flavour lent by the bow and oriel windows and balconies, as well as painted weatherboarding. Further west the old shipyard, now developed for housing, picks up the style of the Quay with weather boarded houses in a vernacular style and various colours. Despite this, however, the redeveloped upriver shipyard squeezes the conservation area on its western edge. To the east, the other shipyard is currently under development and its design attempts to create a better relationship with the existing quay area.

Location: Paragraphs 6.2 and 6.3 Wivenhoe Conservation area appraisal and management guidelines 2007 (Colchester Borough Council)
In 1199 a charter was granted by King John for a weekly market to be held. Further charters gave the right to sell off plots of land for building and for an annual fair. The site of the market was an elongated triangle, stretching from Springfield Road up to the parish church (now the cathedral). Long, narrow rectangular plots stretched down from a High Street frontage to the River’s edge. The market place is still instantly recognisable in the current High Street layout. Some of the narrow plots also survive. The continuous retail use of the High Street from the medieval period means that the historic street pattern has been retained, although most of the buildings have been replaced, several times in some instances. The centre of the market place was progressively infilled from the fourteenth century with permanent buildings. Chelmsford’s central position in the county and being on the primary route to East Anglia allowed it to prosper during the medieval period. Inns, hostels, blacksmiths and carriage makers served the needs of travellers. The fertile agricultural land around the town made it the natural centre for trading. The plentiful water supply available allowed various industries to develop, including brewing, flour milling and tanneries.

Historic Analysis: Paragraphs 7.5-7.7 Chelmsford Central Conservation Area Character Appraisal 2007 (Chelmsford Borough Council) can help to reconstruct the earlier stages of historical development, which often still have an influence on the current townscape. Paragraph 2.32 Understanding Place: Historic Area Assessment: Principles and Practice English Heritage 2010 sets out useful questions to ask when considering historic development of an area.

2.2.9 The twentieth century is often the most undervalued and vulnerable period of building and landscaping and it will be important for the appraisal to recognise, where appropriate, the contribution made by more recent buildings. Some conservation areas are made up largely or even entirely of twentieth century development. Examples include Letchworth and Welwyn Garden Cities.

2.2.10 Once this analysis has been completed the results can be shown on a map which illustrates key periods in the area’s history and highlights the survival of those historic elements which have determined the form of the conservation area today. These might include a medieval road pattern, former defensive lines, watercourses, burgage plots or other significant boundaries, estate walls, formal layouts, and the relationship of buildings to open spaces. The influence of new lines of transport, such as canals and railways, can also be shown. Supporting text can summarise the settlement’s history, showing how this has shaped the development of the area and how its effect is evident in the plan form. It may be helpful to provide a list of books and other sources where the local history is described in more detail.

2.2.11 Historic associations will also be important and the museum record office and local library may have information on these. Local knowledge may play as important a part as formal records in developing this part of the appraisal, especially where dealing with relatively modern landscapes. The extract from Chelmsford Central Conservation Appraisal in the box opposite describes the historical associations and the way the history can be recognised in the existing built form.

2.2.12 Archaeological remains, whether above ground structures, earthworks, or buried deposits, often contribute directly to the sense of place evident in the present day-area. They also represent a potentially rich resource for future research, interpretation and education. Historic characterisation approaches such as intensive or urban surveys described on page 6 Understanding Place: An Introduction English Heritage 2010 can provide further information and this is usually held in the local HER.
To identify archaeological potential, it may be helpful to include a map or deposit model showing archaeologically sensitive areas. The extract from Ealing Canalside Conservation Area Appraisal in the box below identifies the extent of surviving archaeological evidence and the potential for further sites to be discovered.

The nature of the canal’s construction means that it is likely to have removed archaeological remains of earlier activity within its core, although the course of the towpath may provide a strip of relatively undisturbed land with potential to preserve archaeological remains in areas that otherwise have been significantly disturbed by development for heavy industry and dense suburban housing. Prior to the construction of the canal the area was relatively sparsely populated farmland with dispersed settlement and this is likely to be the case through much of its history of human habitation. The presence of a scheduled moated site just to the north of the canal at Sudbury Golf Course, however, does suggest that its course might encounter a limited number of interesting sites of earlier settlement and other activity.

Archaeological Assessment: Paragraph 3.2 Canalside Conservation Area Character Appraisal 2008 © (Ealing Borough Council)

Architectural Quality and Built Form

2.2.13 In this part of the appraisal describe any dominant architectural styles, the prevalent types and periods of buildings, their status (ie statutorily or locally listed) and essential characteristics, and their relationship to the topography, street pattern and/or the skyline. Individual buildings or groups that contribute positively to the character or appearance of the area and those that are distinctive, because they are rare or unique, can be identified on a map. The range of prevalent and traditional materials in the area for buildings, walls, and ground surfaces may be characteristic of the local vernacular and it will be important to note the textures and colours and the ways in which they have been used. Surviving historic surfaces and historic or unusual street furniture are likely to contribute to the character and special interest.

2.2.14 Surviving or former uses within the area might also have influenced plan form, urban grain and building types. For example, grand terraces with mews, villas set in generous gardens, workers’ back-to-back housing, or industrial buildings connected with particular activities.

Architectural Detail: Victoria Street Conservation Area: Appraisal and Management Plan 2009 - York Road, Queens Road, West Road (St Edmundsbury Borough Council)
Many of the historic buildings within the Norman core of the town centre are timber framed although this is not always obvious. Being a fashionable town in the 18th and early 19th centuries, Bury St Edmunds underwent ‘Georgianisation’ with the frames being faced over and jetties underbuilt, often in brick. Red brick, popular in the earlier part of the 18th century, and gault brick, favoured in the later Georgian and Regency periods, are both found there. Painted plaster is also a prevalent finish. The public buildings in the market place are built of gault brick with stone dressings and embellishments. Stone is not local to Suffolk and is only found on the earlier prestigious buildings like Moyses Hall, or in small quantities for quoins and window and door surrounds. Tile hanging is found on a few buildings in the town centre. Despite the variety of roof profiles in the conservation area, the predominant material is the clay plain tile, which suits the steep roof pitches. To a lesser degree, pantiles and slates are also found, particularly on shallower roof slopes. Following the fire in 1608 thatch ceased to be used in the town and there are no thatched buildings surviving.

**Open Space, Parks and Gardens and Trees**

2.2.15 This part of the appraisal describes open spaces within or immediately outside the conservation area, the way they are enclosed, and the visual and/or other sensory contribution they make to the character of the place. The relationship between public space (such as a market place, street, square, public garden or car park) and private space (gardens, courtyards or playing fields), the qualities they offer and the ways in which the spaces were and are used, and the identification of key settlement edges are all part of this analysis.

The quantity, layout and design of landscape and green space in all its forms are inseparable from the vision, planning and execution of the Garden City. Hedging is the main form of enclosure for both public and private open space. Lime, Horse Chestnut, Lombardy Poplar and Hornbeam trees, rose and shrub beds are set formally within the open spaces of Parkway and Howardsgate and provide a complementary setting for the rigid formation of the buildings in the town centre. Along the length of Howardsgate, the composition of geometrically placed hedges, rows of perimeter lime trees, paved walkways and open spaces achieves a spacious and distinctive urban character, which is further enhanced by specially designed de Soissons street lights and advertising kiosks.

2.2.16 Some open spaces, parks and gardens may be included on the English Heritage Register of parks and gardens of special interest. Domestic gardens, especially planted front gardens, can make a significant contribution to the character of many conservation areas. Trees, hedges, boundaries and street greenery are important elements of many conservation areas, not only in public places, but on private land as well. Identification of important single trees and groups and a description of their location and species, age and assessment of condition and potential lifespan will assist in developing a strategy for protection, maintenance and replanting (see paragraph 3.18 in part 3).

2.2.17 Some conservation areas are notable for their biodiversity value. Protected species and habitats need
to be addressed when reviewing buildings and sites and planning works.

**Character Zones**

2.2.18 In larger conservation areas, discernible character areas or zones are often evident. These may already have been defined by using a historic characterisation approach such as *Historic Area Assessment* and may reflect the predominant historic character that survives from earlier periods, for example, areas of Georgian, Victorian or later residential development, or the original function, class distinctions, design or current uses. The areas where industrial, commercial, civic or transport-related activity is prevalent can also be identified. The sub-areas may overlap or have ‘blurred edges’, for example where a 19th century development is partly on historic urban plots and partly in former fields. There can be ‘zones of transition’ between areas of consistent character.

2.2.19 If character areas are identified and illustrated on a plan, the appraisal will provide not only a detailed description of the physical constituents but also an evaluation of the significance of the sub-area concerned and a summary of its special interest. Where this approach is adopted, the character areas will be considered in the context of the area as a whole, or of the wider settlement, if the conservation area covers only a part of it.

2.2.20 If there are no recognisable zones the appraisal might highlight the influence that change over time has had in the development of the area as a whole, particularly if there is diversity and contrast in architectural styles. Note might also be made of the impact of different national and international planning and architectural movements on the area.

**Positive Contributors**

2.2.21 Most of the buildings in a conservation area will help to shape its character. The extent to which their contribution is considered as positive depends not just on their street elevations, but also on their integrity as historic structures and the impact they have in three dimensions, perhaps in an interesting roofscape, or skyline. Back elevations can be important, as can side views from alleys and yards. It will be helpful to identify those key unlisted buildings that make an important contribution to the character of the conservation area, as well as those which clearly detract from it and could be replaced. A checklist of questions to help with this process can be found in the box on the page opposite.
Table 2 Check list to identify elements in a conservation area which may contribute to the special interest.

A positive response to one or more of the following may indicate that a particular element within a conservation area makes a positive contribution provided that its historic form and values have not been eroded.

<table>
<thead>
<tr>
<th>Checklist</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is it the work of a particular architect or designer of regional or local note?</td>
</tr>
<tr>
<td>Does it have landmark quality?</td>
</tr>
<tr>
<td>Does it reflect a substantial number of other elements in the conservation area in age, style, materials, form or other characteristics?</td>
</tr>
<tr>
<td>Does it relate to adjacent designated heritage assets in age, materials or in any other historically significant way?</td>
</tr>
<tr>
<td>Does it contribute positively to the setting of adjacent designated heritage assets?</td>
</tr>
<tr>
<td>Does it contribute to the quality of recognisable spaces including exteriors or open spaces with a complex of public buildings?</td>
</tr>
<tr>
<td>Is it associated with a designed landscape eg a significant wall, terracing or a garden building?</td>
</tr>
<tr>
<td>Does it individually, or as part of a group, illustrate the development of the settlement in which it stands?</td>
</tr>
<tr>
<td>Does it have significant historic association with features such as the historic road layout, burgage plots, a town park or a landscape feature?</td>
</tr>
<tr>
<td>Does it have historic associations with local people or past events?</td>
</tr>
<tr>
<td>Does it reflect the traditional functional character or former uses in the area?</td>
</tr>
<tr>
<td>Does its use contribute to the character or appearance of the area?</td>
</tr>
</tbody>
</table>

Locally Important Buildings

2.2.22 Recommendations for new locally listed buildings could form part of the appraisal. If there is no ‘local list’, the appraisal might recommend the introduction of local criteria for identifying important unlisted buildings, as at Bassetlaw. Local constructional or joinery details, including characteristic historic shop-fronts, and unusual local features often make a vital contribution to local distinctiveness.

An Audit of Heritage Assets

2.2.23 An audit of heritage assets will be helpful in larger, more complex areas, where there is a wide range of historic structures, and/or in areas with an industrial heritage. A description of condition will be important. The results are probably best tabulated and included as an appendix to the appraisal, and/or on a map. Where significant change to public space is proposed, an audit of the public realm may be appropriate and the appraisal might usefully note if such an audit needs to be undertaken (Part 3 paragraph 3.5).

An Assessment of Condition

2.2.24 The appraisal also offers the opportunity to record the general condition of the area, that is both its economic vitality and the physical condition of the historic buildings, other heritage assets and the public realm. It can be used to identify buildings at risk, or in a serious state of disrepair, or where a major land/property owner or developer is pursuing an obvious policy of deliberate neglect, or where the loss of front gardens to hard standing for cars, the loss of traditional architectural features and fenestration, or the existence of gap sites is eroding special character. In some cases, it may be appropriate to map and photograph surviving original architectural features and fenestration – distinctive local detailing, doors, windows, roof coverings, trees – to aid future monitoring and enforcement. Understanding Place, Historic Area Assessment: Principles and Practice English Heritage 2010. Paragraph 2.4.2 sets out some useful questions to help with this part of the appraisal.

2.2.25 Generic issues that underlie obvious problems such as:

- the effects of heavy traffic;
- a low economic base resulting in vacancy and disrepair of buildings;
- pressure for a particular type of change or development as well as specific examples (such as buildings at risk, or uncontrolled, inappropriate advertising) will provide evidence and identify the need for additional controls, particularly Article 4 directions, to prevent further erosion.
of the area’s special interest, (see part 3 and annex 1), and potential capacity for beneficial change.

**Identifying the Boundary**

2.2.26 An important aspect of the appraisal (and review) process will be considering where the boundaries should be drawn (and whether the boundaries of an existing conservation area should be re-drawn). An explanation of why the boundary is drawn where it is (or extensions are suggested, in the case of existing conservation areas), what is included and what is excluded, will be helpful. The position of the conservation area boundary will to a large degree be informed by the considerations identified in paragraph 2.2.15. Spaces will make a contribution in giving enclosure, but also in framing views of assets and defining settings. The desirability of a unified approach to their management including long term use and boundary treatments suggests that in almost all situations the conservation area boundary runs around rather than through a space or plot. It will generally be defined by physical features and avoid for example running along the middle of a street. However, the inclusion of the boundary wall of a property when the remainder of the property is not included can in itself cause problems when applying conservation area policies in development management decisions.

**A Plan for Further Action and Generic Guidance**

2.2.27 This section of the appraisal presents an overview and summarises the main problems and pressures identified in the appraisal that will be addressed through a management plan.

**References, Appendices and Contact Details**

2.2.28 This section lists references to the principal sources of historic and local information, a short glossary of relevant architectural and vernacular terms, an audit of heritage assets, the criteria used for assessing the contribution made by unlisted buildings in the conservation area (see table 2) useful names and addresses (of both national and local organisations) and the local authority’s contact details for enquiries and comments.
PART 3: EFFECTIVE MANAGEMENT

Managing Change

3.1 Section 71 of the Planning (Listed Building and Conservation Areas) Act 1990 places on local planning authorities the duty to draw up and publish proposals for the preservation and enhancement of conservation areas in their districts. The character and appearance of conservation areas can change through incremental stages or quite suddenly and regular appraisals help to identify threats and opportunities which can be developed into a management plan. Some areas will be in a state of relative economic decline, and suffer from lack of investment. In these cases the management opportunities that come from designation can be used to promote beneficial change. Sometimes the very qualities that make conservation areas appealing might lead to pressure for development and then the management plan can help channel development pressure in a way that conserves the special quality of the conservation area.

Involving Others

3.2 Proposals for preservation and enhancement will be most effective when all the departments within the local authority understand the significance of designation and work corporately to ensure that development decisions respect the historic context. A ‘development team’ approach can help to ensure such that issues are widely understood within an authority.

3.3 There is also a requirement under Section 71 of the Act for the local authority to consult the local community about any management proposals for the conservation area. Local authorities might encourage engagement after designation by getting residents’ groups, amenity groups, businesses, and community organisations together to discuss the issues facing the area and how these might be addressed. This approach was undertaken in Berwick-upon-Tweed and brought public understanding and ‘ownership’ to proposals for the area. (www.berwicksfuture.co.uk case study 02 Valuing Places). The award winning Lincoln project www.heritageconnectlincoln.com shows just how effective it can be to involve the community in understanding character of place.

3.4 Guidance (both published and available online) which explains why the area has been designated, what constraints and opportunities result from designation, and what policies the local authority has adopted will help home owners, businesses and developers understand how the community wants the area to develop.

3.5 It is also important that utility companies and the highway authority are engaged from designation through to drawing up and implementing management proposals, as the character and appearance of conservation areas is often related to the treatment and condition of roads, pavements and public spaces.

Components of a Management Plan

3.6 A management plan sets out the way in which development pressure and neglect will be managed to ensure conservation areas retain the qualities which led to their designation. Development of a generic plan which can be adapted for different conservation areas by inserting specific actions can maximise use of resources. Components of a management plan might include:

- Local Plan Policies
- Guidance
- Regeneration Strategy
- Enhancement Schemes
- Street and Traffic Management
- Trees, Open Space and Green Infrastructure Strategy
- Enforcement and Remediation Strategy
- Restriction on PD rights through Article 4 directions (see Annexe 1)

These measures are explained in more detail in the following paragraphs.

Local Planning Policies

3.7

- The proposals map can show boundaries of existing conservation areas and changes/new designations when it is updated
- The core strategy would indicate where conservation objectives are key priorities and why and how those conservation objectives are to be integrated with social, economic and other environmental objectives.
- Where there are gap sites or negative contributors an area action plan may include specific proposals for new development or Article 4 directions
- Development management policies might include policies on:
  - protection of important views and vistas
  - criteria for demolition;
  - acceptable alterations and extensions to historic buildings;
- an urban design strategy for securing good design quality in new development
- development/design briefs for key sites.

3.8 The application of appropriate planning policies will be more effective if a flexible approach is taken to the requirements of the Building Regulations. English Heritage advice on this can be found in this approved document. Similar flexibility is needed in compliance with the Fire Precautions Act, and highway policies where they would be in conflict with the preservation or enhancement of the area’s character or appearance.

3.9 The LDF annual monitoring report will assess progress with the implementation of the management plan and the extent to which planning policies in the local development documents, including policies for the historic environment, are being complied with or are effective in delivering community aspirations. The assessment can then be used to modify and update policies and programme. Monitoring could also include following up and publishing information from time to time on the local authority’s progress with implementing the proposals included in the management strategy for the area.

**Heritage Champions**

Heritage Champions are local Councillors nominated by their local authority to promote the historic environment within the council. Through their position within the authority they are well placed to influence the development of policy and initiatives to ensure that the promotion and protection of local heritage is properly considered. English Heritage provides Champions with advice and guidance to support them in this role.

**Guidance**

3.10 General guidance can be developed quite easily from the appraisal. It might cover:

- controls and limitations including local plan policy
- topics relevant to conservation areas
- specific issues such as replacement windows and doors
- parameters for extensions
- design of shop fronts including security shutters
- outdoor advertisements

3.11 Site-specific design guidance will encourage new development that complements the established grain, settlement pattern and character, whilst representing the time in which it is built. Such guidance is particularly useful where the character of the area derives from its diversity, and imitative or ‘in keeping with existing’ styles would run counter to the way in which the area has traditionally evolved.

**Regeneration Strategy**

3.12 A regeneration strategy will help to focus economic activity and development in the areas where it can be of most benefit. It would be based on a thorough analysis of the prevailing problems in the designated area and include the causes of under-use and fabric decay and realistic economic and valuation advice. The appraisal will have identified the scale of the problem and priorities for action. A more detailed assessment of the major structural and external elements of some or all of the buildings in the area may be needed to estimate the cost of bringing back into good repair. The availability of grant-aid will clearly be important. Further advice is available at [http://www.english-heritage.org.uk/professional/funding/grants/](http://www.english-heritage.org.uk/professional/funding/grants/) and the Heritage Alliance Heritage Funding Directory (HFD) at [http://www.heritagelink.org.uk/fundingdirectory/main/fundinghome.php](http://www.heritagelink.org.uk/fundingdirectory/main/fundinghome.php).

3.13 Consideration might be given to initiatives which bring empty upper floors back into use within town centres to help sustain activity within the area and as an incentive to keep buildings in economic use - the easiest way to ensure they are maintained in a state of good repair. Such a strategy might also include criteria and priorities for grant-aid for the repair and restoration of architectural features to buildings.

**Enhancement Schemes**

3.14 Environmental improvements can be achieved just through the removal of negative factors such as obtrusive hoardings and unsightly poles and overhead wires, or through sympathetic landscaping and planting. A local authority may also use its general planning powers to serve a Section 215 notice on the owner (or occupier) of any land or building whose condition is adversely affecting the amenity of the area, particularly a conservation area. Such a notice requires the person responsible to clean up the site or building, or the authority can carry out the work itself and reclaim the cost from the owner. Section 215 is a relatively straightforward power that can deliver important, tangible and lasting improvements to amenity — and local authorities are positively encouraged to use these powers wherever appropriate.
**Street and Traffic Management**

3.15 A detailed audit of the public realm undertaken as part of the conservation appraisal can identify the best way to minimise physical obstruction and visual clutter and integrate new signs or street furniture in the design of the street as a whole. The English Heritage Streets for All regional manuals show how streets can be managed to retain and enhance local character. Associated case studies give practical advice on solving common highway problems such as fixing signs and lights to buildings, and removing yellow lines.

3.16 Early engagement with highways departments can help to identify traffic management designs that are sympathetic to the historic environment. Even when there is no immediate budget for highways interventions, this may help ensure that any future programme of highway work brings about positive benefits for the conservation area. For example, traffic management measures can be integrated into the historic environment effectively by retaining features such as walls, trees, hedges and railings and horizontal and vertical alignments and surfaces such as cobbles and stone setts which naturally calm speeds. Where new features are introduced the observance of existing design principles and use of local traditional materials will ensure they do not appear intrusive. Manual for Streets 2 provides guidance on highway safety and street and road design which considers historic context.

3.17 Statutory undertakers are responsible for carrying out the permanent reinstatement of the highway where they disturb it with the existing materials, or in the closest possible match, if the materials cannot be re-used.

**Tree, Open Space and Green Infrastructure Strategies**

3.18 A good tree strategy will include an assessment of the amenity value of trees on private land, before there is pressure to remove them through the tree notification process. A proactive replacement programme for trees on public land will ensure that successor trees are planted ready to replace those that are becoming diseased, dying or dangerous. The strategy could also usefully include measures to ensure trees are protected, and their growing environment enhanced if opportunities arise, during street works or other developments.

3.19 Open space, both public and private, creates valuable green infrastructure which can assist in the adaptation and mitigation of climate change. Green space strategies help local authorities to plan and manage these. Conservation management plans are recommended for individual historic gardens, parks and cemeteries.

**Enforcement and Remediation Strategy**

3.20 If changes in the appearance and condition of a conservation area are monitored regularly action can be taken promptly to deal with problems as they arise. A dated photographic record of the area created during the appraisal process, will provide an invaluable aid to any later enforcement action. A detailed survey of building condition and occupancy will help to inform an Enforcement and Remediation Strategy which sets out priorities for intended action to secure the repair and full use of any buildings at risk in the conservation area. English Heritage’s collation of local authority information on conservation areas at risk has provided information on over 80% of the conservation areas.

3.21 Urgent works notices to secure emergency or immediate repairs to arrest deterioration can be served on the unoccupied parts of unlisted buildings in conservation areas with the agreement of the Secretary of State for Culture, Media and Sport, advised by English Heritage. An urgent works notice is a statement of the local authority’s intent to carry out works itself if the owner does not and to reclaim the costs from the owner. Such notices are often enough to encourage owners to repair the buildings, or to put them on the market but it is advisable for local authorities to make full use of their statutory powers if unlisted buildings that contribute positively to the special interest of a conservation area are falling into decay. Information on serving urgent works and repairs notices is available in English Heritage’s step-by-step guide, Stopping the Rot.
ANNEX 1: GUIDANCE ON MAKING ARTICLE 4 DIRECTIONS

What are Article 4 Directions?
Minor development such as domestic alterations and extensions can normally be carried out without planning permission under the provisions of the General Permitted Development Order (GDPO). Article 4 of the GPDO gives local planning authorities the power to restrict these ‘permitted development rights’ where they have the potential to undermine protection for the historic environment. Using the provisions of Article 4 of the GPDO brings certain types of development back under the control of a local planning authority so that potentially harmful proposals can be considered on a case by case basis through planning applications.

Assessing the need
The specific requirement on local authorities under section 69 of the Planning (Listed Buildings and Conservation Areas) Act 1990, to carry out a conservation area appraisal provides a robust evidence base on which to assess the need for and scope of an Article 4 direction. Ideally a conservation area management plan developed from a conservation area appraisal will identify areas where removal of ‘permitted development rights’ is necessary to prevent the loss of characteristic architectural detailing or gradual erosion of the character and appearance of the conservation area through inappropriate development. Historic characterisation approaches such as Historic Area Assessment will also provide evidence for using Article 4 directions outside conservation areas.

Scope
It is only appropriate to remove permitted development rights where there is a real and specific threat and exclude properties where there is no need for the direction to apply. Article 4 directions are most commonly used to control changes to elevations of buildings in conservation areas fronting a highway, waterway or open space but they can also be used to control other forms of development which might harm the significance of heritage assets such as:

- extensions to commercial or domestic properties in an archaeologically sensitive area where the footings might harm the archaeological deposits;
- development that could threaten the outstanding universal value (OUV) of a World Heritage Site;
- the demolition of a heritage asset outside a conservation area which has not been designated but is of local value

Monitoring and Enforcement:
Article 4 directions are more likely to be effective if:

- there is a dated photographic record of the properties affected for the purposes of tracking any subsequent changes;
- guidance is provided for homeowners on how the direction affects them with advice on appropriate repair and alteration;
- the local authority undertakes regular monitoring for compliance and appropriate enforcement;
- the need for the article 4 direction is reviewed if circumstances change

Impact on Resources
Increase in planning applications is likely to be minimal as clear, concise controls, backed up by appropriate guidance, tend to encourage like-for-like repair or replacement in matching materials, which do not require planning permission (paragraphs 3.18-3.19 RPS Planning Research into the use of Article 4 directions on behalf of the English Historic Towns Forum October 2008, paragraphs 3.18-3.19)

Compensation claims have been extremely rare. The RPS 2008 study found no evidence for any compensation payments actually being made (Op cit, paragraphs 3.20-3.21)

Cost of Preparation - integrating proposals for article 4 directions with local plan preparation and conservation area appraisals minimises costs.

ANNEX 2: NATIONAL POLICY


PPS5 is supported by a Practice Guide endorsed by the Department for Communities and Local Government (DCLG), the Department for Culture, Media and Sport (DCMS) and English Heritage. [http://www.english-heritage.org.uk/publications/pps-practice-guide/pps5practiceguide.pdf](http://www.english-heritage.org.uk/publications/pps-practice-guide/pps5practiceguide.pdf)

Conservation areas are designated heritage assets and as such there is a national presumption in favour of their conservation. (PPS5 Policy HE9.1).

Not all elements of a conservation area will necessarily contribute to its significance. Policies HE9.1 to 9.4 and HE10 of PPS5 apply to those elements that do contribute to the significance. (PPS5 Policy HE9.5).

Some queries have arisen on the interpretation of policy HE9.5 in PPS5. Following discussion with the Department for Communities it is English Heritage’s understanding that in applying policies in HE9.1 to HE9.4 and HE10 to buildings in a conservation area that make a contribution to the area’s significance, it is appropriate to apply those policies to the impact of the proposals on the individual building. Substantial harm to or total loss of significance of such a building would therefore be considered against the policy tests in HE9.2, taking into account the relative significance of the building affected and its contribution to the area as a whole when giving the harm or loss appropriate weight.

Demolition of a building which is a positive contributor to the architectural and/or historic interest will almost inevitably result in substantial harm or loss of significance to the conservation area [http://www.english-heritage.org.uk/publications/pps-practice-guide/](http://www.english-heritage.org.uk/publications/pps-practice-guide/)

An application for a proposal which will lead to substantial harm or total loss of significance should be refused unless it can be demonstrated that

(i) The substantial harm to or loss of significance is necessary in order to deliver substantial public benefits that outweigh the harm or loss; or

(ii) (a) the nature of the heritage asset prevents all reasonable uses of the site; and

(b) no viable use of the heritage asset itself can be found in the medium term that will enable its conservation; and conservation through grant funding or charitable/public ownership is not possible; and

(c) the harm to or loss of the heritage asset is outweighed by the benefits of bringing the site back into use.

(PPS5 Policy HE9.2)

The merit of any proposed replacement development is a further consideration. Acceptable new build in conservation areas will aspire to a quality of design and execution, related to its context, which may be valued in the future. This neither implies nor precludes working in traditional or new ways, but will normally involve respecting values established through assessment of the significance of the area. This will include overall mass or volume of the development, its scale (the expression of size indicated by the windows, doors, floor/ceiling heights, and other identifiable units), landscaping, and its relationship to its context – whether it sits comfortably on its site, for example, by respecting surviving medieval street patterns. The use of materials generally matching in appearance or complementary to those that are historically dominant in the area is likely to be important, as is ensuring that materials, detailing and finishes, and planting are all of high quality. Exceptions to this approach may include new development forming part of, or adjoining, an important architectural or design set piece of recognised quality, which must be taken into account, or where a high-quality contemporary landmark building might be appropriate.